On the Characteristics of Buggy Code Clones: A Code Quality Perspective

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Abstract—Code clone is an immensely studied code smell. Not all the clones in a software system are equally harmful. Earlier work studied various traits of clones including their stability and relationships with program faults against non-cloned code. This paper presents a comparative study on the characteristics of buggy and non-buggy clones from a code quality perspective.

In the light of 29 code quality metrics, we study buggy and non-buggy clones in 2,077 revisions of three software systems written in Java. The findings from this work add to the characterization of buggy clones. Such a characterization will be useful in cost-effective clone management and clone-aware software development.

I. INTRODUCTION

Source code reuse by copy-paste is a common practice that software developers adopt to increase productivity. Software systems typically have 9%-17% [48] duplicated code, and the proportion is sometimes found to be even 50% [35] or higher [6]. Such duplicated code, known as code clones, are considered notorious code smell [7] due to their negative impacts on software development and maintenance.

In the past, several studies examined the comparative stability of clones as opposed to non-cloned code [4], [8], [11], [21], [22], [28], [33], comparative vulnerabilities in cloned and non-cloned code [15], [16], relationships of clones with bug-fixing changes [5], [12], [17], [18], [19], [23], [32], [41], [45], change-proneness of clones [29], and the impacts of clones on program’s changeability [9], [24], [25]. There have also been studies on clone removal in program history [38], [49], [50]. Existing literature suggest that, (i) clones are problematic in many cases, (ii) not all clones are equally harmful [20], and (iii) it is not practically feasible to remove all clones from a system through aggressive refactoring [37], [46], [47]. Therefore, for cost-effective clone management, we must distinguish the characteristics of clones, which make them problematic (e.g., buggy).

Not much work is done along this direction. Majority of earlier work made comparisons between clones and non-cloned code with respect to certain criteria (e.g., stability, vulnerability, bug-proneness). Only a few earlier studies suggested merely a handful of characteristics that might make certain clones detrimental. Such characteristics include late-propagation of clones [4], [5] and stability/change-proneness of clones [33], [29].

In this paper, using 29 code quality metrics, we study the characteristics of buggy and non-buggy clones aiming to identify certain quality metrics, which can indicate potential bug-proneness of clones. In particular, we address the following four research questions.

RQ1: Are buggy cloned methods more complex than non-buggy cloned methods or vice versa? — Failure-prone software entities are statistically correlated with code complexity measures [30]. However, there is no single set of complexity metrics that can indicate defect [30]. Here, we investigate 15 complexity metrics to statistically measure their dominance in buggy and non-buggy cloned code.

RQ2: Are buggy cloned methods larger than non-buggy cloned methods or vice-versa? — Earlier studies [39], [48] found cloned methods to be smaller than the non-cloned methods. However, it is unknown whether there is any substantial size difference between buggy and non-buggy clones. Using seven metrics, we investigate the size difference between buggy and non-buggy cloned methods.

RQ3: Are buggy cloned methods more documented than non-buggy cloned methods or vice-versa? — It is suggested that when cloning a piece of code the variations should be well documented in order to facilitate bug fix propagation [20]. As such, undocumented or poorly documented clones can have high possibility of causing bugs. Hence, we investigate this possibility by analyzing five source code documentation metrics.

RQ4: Are buggy cloned methods more coupled than non-buggy cloned methods? — Low coupling and high cohesion are two prominent software design qualities expected to keep a software system’s inherent complexity manageable. In other words, highly coupled code is harder to manage and could be bug-prone. Thus, we investigate whether coupling metrics’ values are significantly higher in buggy cloned code compared to non-buggy cloned code.

II. STUDY SETUP

The procedural steps of our empirical study are summarized in Figure 1, and described in the following subsections.

A. Subject Systems

We study 2,077 revisions of three open-source software systems written in Java. These subject systems, as listed in Table I, are available at the GitHub repository. In Table I,
we present the total number of revisions and number of bug-fixing revisions of each subject system along with the number of source lines of code (LOC) in the last revision. We choose these three subject systems as these systems have variations in application domains, sizes, number of revisions, and are also used in another study [34].

For a project $P$, we determine the buggy cloned methods by performing the following steps: (i) we collect all the bug-fixing commits for $P$ from the dataset of Ray et al. [34]. (ii) For each bug-fixing commit $c$, using JGit [1], we obtain the $n^{th}$ revision of $P$, which is the result of the commit $c$. (iii) Then, we obtain the $(n-1)^{th}$ revision of $P$. (iv) The changes between the $n^{th}$ and $(n-1)^{th}$ revisions of $P$ are captured using JGit along with changed lines’ numbers in the java source files. (v) Using NiCad [36], we detect Type-3 method clones in the $(n-1)^{th}$ revisions of $P$ and record their locations and boundaries (start and end line numbers in source files). (vi) Whether a commit $c$ affected a cloned method is determined by checking if any of changed lines’ number identified in the step-iv falls within the boundary of the clone. (vii) Clone that is affected by the bug-fixing commits are identified as buggy clones while the rest other clones are considered non-buggy.

Several other studies [13], [14], [29], [32] also adopted similar approaches for distinguishing buggy source code.

D. Computation of Source Code Quality Metrics

We use total 29 source code quality metrics grouped into four categories- (i) complexity metrics, which measure the complexity of source code elements; (ii) size metrics, which measure the basic properties of the analyzed system in terms of different cardinalities (e.g., number of code lines). (iii) documentation metrics, which measure the amount of comments and documentation of source code elements in the system; and (iv) coupling metrics, which measure the interdependencies of source code elements. All the metrics are listed and briefly described in Table II. Detail descriptions of those metrics can be found in the user manual of SourceMeter [2], which is a proprietary static source code analyzer tool we use in this work. We opt out of describing the metrics in details due to space limitations.

Using a free version of SourceMeter [2] (version 8.2.0-x64-linux), we compute all the metrics in Table II for each of the buggy and non-buggy clones. The tool’s configuration parameters are kept at their defaults.

III. ANALYSIS AND FINDINGS

We carry out our analyses in the light of each of the 29 quality metrics separately averaged for buggy and non-buggy clones. For testing statistical significance we apply Mann-Whitney U test on all the metrics, and as a result, we report the findings in Table II for each metric in both buggy and non-buggy cloned methods.
A. Complexity of Buggy and Non-buggy Clones

In the box plot of Figure 2, we present the distribution of the average complexity metrics’ values for buggy (grey boxes) and non-buggy (white boxes) clones for each studied revision of the subject systems. The ‘x’ marks in the box plots indicate the means over all the revisions across the subject systems.

As seen in Figure 2, for buggy clones, there are more variations in values of all the complexity metrics compared to those for non-buggy clones. Most importantly, considering the averages (marked with ‘x’), all the 15 complexity metrics’ values are worse for buggy clones. Buggy clones exhibit lower values for the four maintenance index related complexity metrics (i.e., MI, MIMS, MISEI, and MISM). For these four metrics higher values are desirable as indicated in Table II. For the rest 11 complexity metrics, buggy clones are found to have higher values while lower values are desirable for these 11 metrics. These observations indicate that buggy clones are more complex and less maintainable compared to non-buggy clones.

### Table II

<table>
<thead>
<tr>
<th>Category</th>
<th>Metric</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Complexity metrics</td>
<td>HPVL</td>
<td>Hal. Program Vocabulary</td>
</tr>
<tr>
<td></td>
<td>HTRP</td>
<td>Hal. Time Required to Program</td>
</tr>
<tr>
<td></td>
<td>HPL</td>
<td>Hal. Program Length</td>
</tr>
<tr>
<td></td>
<td>HPV</td>
<td>Hal. Program Vocabulary</td>
</tr>
<tr>
<td></td>
<td>MISM</td>
<td>Maintainability Index (MS)</td>
</tr>
<tr>
<td></td>
<td>MISEI</td>
<td>Maintainability Index (SEIV)</td>
</tr>
<tr>
<td></td>
<td>MIM</td>
<td>Maintainability Index (OV)</td>
</tr>
<tr>
<td></td>
<td>McCC</td>
<td>McCabe’s Cyclomatic Complexity</td>
</tr>
<tr>
<td></td>
<td>NLE</td>
<td>Nesting Level</td>
</tr>
<tr>
<td></td>
<td>TLOC</td>
<td>Total Logical Lines of Code</td>
</tr>
<tr>
<td></td>
<td>TCD</td>
<td>Total Comment Density</td>
</tr>
<tr>
<td></td>
<td>TLLLOC</td>
<td>Total Logical Lines of Code</td>
</tr>
<tr>
<td></td>
<td>TNTOS</td>
<td>Total Number of Statements</td>
</tr>
</tbody>
</table>

**Source Code Metrics**

- HCPL: Hal. Calculated Program Length
- HDIF: Hal. Difficulty
- HEFF: Hal. Effort
- HND: Hal. Number of Delivered Bugs
- HPL: Hal. Program Length
- HPV: Hal. Program Vocabulary
- HTRP: Hal. Time Required to Program
- HVOL: Hal. Volume
- MIMS: Maintainability Index (MS)
- MISEI: Maintainability Index (SEIV)
- MIM: Maintainability Index (OV)
- McCC: McCabe’s Cyclomatic Complexity
- NL: Nesting Level
- NLE: Nesting Level Else-II

Hal.=Halstead; MS= Microsoft version; OV=Original version; SEIV=SEI version; SV=SourceMeter version.

**A significance difference exists between distributions if p-value of a MWW test is found to be less than α and Cliff’s delta d value is not negligible (i.e., |d| > 0.15).** In drawing the box-plots for our analyses, we normalize the metrics values using the widely used Min-Max [42] method.
To determine whether our observations are statistically significant, for each of the 15 complexity metrics, we separately conduct a one-sided pair-wise MWW test between the metric’s values computed for buggy and non-buggy clones. The p-values obtained from these tests are presented in Table III. The measurements of effect sizes (i.e., Cliff’s delta $d$) corresponding to the MWW tests are also included in Table III.

As seen in Table III, the p-values obtained from MWW tests are less than $\alpha$ for all the complexity metrics except for three (HEFF, HTRP, and McCC). However, for two (HNDB and HVOL) of these 12 complexity metrics, the effect sizes computed in Cliff’s delta $d$ are found to be negligible. For rest of the 10 complexity metrics, the MWW tests indicate statistical significance in the differences of the metrics’ values for buggy and non-buggy clones while the Cliff’s delta $d$ values also suggest that the effect sizes are not negligible.

Hence, from our observations and statistical tests, we now derive the answer to RQ1 as follows:

**Ans. to RQ1:** Buggy clones have significantly higher complexity and lower maintainability compared to non-buggy code clones.

### B. Size Difference of Buggy and Non-buggy Clones

In Figure 3, we plot the distribution of the seven size metrics’ values computed for buggy and non-buggy clones. Similar to the case of complexity metrics (discussed in Section III-A), we see that the variations in all the size metrics is higher in buggy clones than in non-buggy ones.

![Fig. 3. Size Metrics’ Values in Buggy and Non-buggy Clones](image)

Average values of all the size metrics are higher (i.e., worse) for buggy method clones except for NUMPAR (i.e., number of parameters). Surprisingly, the average value of this particular metric appear to be slightly higher for non-buggy clones. Again, to verify the significance of our observations and effect size, we conduct one-sided pair-wise MWW test and Cliff’s delta $d$ for each of the seven size metrics between their values computed for buggy and non-buggy clones. The results of the tests are presented in Table IV. The results in Table IV suggest statistical significance (with non-negligible effect size) in the differences of all the seven size metrics’ values computed for buggy and non-buggy clones. Based on the findings, we now answer the RQ2 as follows:

**Ans. to RQ2:** Compared to non-buggy clones, the buggy method clones have significantly higher code size measured in terms of the number of lines and statements. Surprisingly, non-buggy cloned methods are found to have higher number of parameters.

### C. Documentation in Buggy and Non-buggy Clones

Figure 4 depicts the distribution of average values of the five documentation metrics for buggy and non-buggy clones. As seen in the figure, the medians of all the documentation metrics’ values are consistently higher (better) for non-buggy clones. On the contrary, for non-buggy clones, the mean values are lower (worse) for all the metrics except for DLOC (Documentation Lines of Code).

![Fig. 4. Documentation Metrics’ Values in Buggy and Non-buggy Clones](image)

Now, for the distributions of each of the five documentation metrics computed for buggy and non-buggy clones, we separately conduct a one-sided pair-wise MWW test and also measure effect size using Cliff’s delta $d$ to test our hypothesis that buggy clones have inferior documentation (i.e., lower documentation metrics value) than the non-buggy clones. The
obtained p-values and Cliff’s delta d values are presented in Table V. As we see in Table V, for all the five documentation metrics, the p-values indicate statistical significance and Cliff’s delta d values suggest non-negligible effect sizes. Thus the statistical tests fail to reject our hypotheses for each of the documentation metrics. We, therefore, answer the research question RQ3 as follows:

**Ans. to RQ3:** The quality of documentation in buggy clones is significantly inferior to that in non-buggy clones.

![Fig. 5. Coupling Metrics’ Values in Buggy and Non-buggy Clones](image)

**D. Coupling in Buggy and Non-buggy Clones**

In Figure 5, we plot the distribution of average values of the two coupling metrics for buggy and non-buggy clones. As we see in Figure 5, for both the coupling metrics, the variations in buggy clones are higher compared to non-buggy clones. Again, for both the metrics, averages are higher for buggy clones, and the difference is more substantial for the NOI (Number of Outgoing Invocations) metric. The median for NOI is higher for buggy clones while for the NII (Number of Incoming Invocations) metric the medians are nearly equal for both buggy and non-buggy clones.

**TABLE VI**

<table>
<thead>
<tr>
<th>Source Code Metrics</th>
<th>p-Value</th>
<th>Cliff’s delta d</th>
<th>Significant?</th>
</tr>
</thead>
<tbody>
<tr>
<td>NII</td>
<td>0.006414</td>
<td>−0.0926 (negligible)</td>
<td>No</td>
</tr>
<tr>
<td>NOI</td>
<td>2.20 × 10⁻¹⁰</td>
<td>0.2972 (small)</td>
<td>Yes</td>
</tr>
</tbody>
</table>

Similar to previous analyses, we conduct one-sided pairwise MWW test and compute Cliff’s delta d separately for each of the coupling metrics to test the hypothesis that buggy clones are highly coupled (i.e., have higher coupling metrics’ values) than the non-buggy clones. The results of the tests are presented in Table VI. As seen in the table, the p-values of MWW tests for both the metrics indicate statistical significance with p < α. However, the Cliff’s delta d values indicate non-negligible effect size for NOI, but negligible effect size for the NII metric.

In combination of the two metrics, we can say that buggy method clones are comparatively more coupled than non-buggy clones. Hence, we derive the answer to the research question RQ4 as follows:

**Ans. to RQ4:** Buggy method clones have significantly higher number of outgoing dependencies (i.e., outgoing invocations) compared to non-buggy method clones. However, there is no significant differences in the incoming dependencies (i.e., incoming invocations) of buggy and non-buggy cloned methods. Overall, buggy clones are more coupled than non-buggy clones.

**IV. Threats to Validity**

**Construct Validity:** We use the bug-fixing commits that are identified by Ray et al. [34]. These bug-fixing commits are distinguished based on matching of keywords (e.g., bug-fix, bug, issue, bug id) in the commit messages. There is a possibility that some portions of the bug-fixing commits could be regular commits (e.g., relevant to new feature implementations and improvements) and might not be genuinely relevant to bug-fix. However, this dataset of bug-fixing commits is reported to be 96% accurate [34]. In the identification of bug-fixing changes affected by a bug-fixing commit, there is a possibility that not all the affected lines of code are indeed responsible for the bug fixed in the bug-fixing commit. However, for this purpose, this is an acceptable approach also widely adopted in other studies [13], [14], [29], [32].

When a cloned method m is affected by bug-fixing changes in revision n, the method m is considered buggy at revisions n − 1. For rest of the revisions the method m is considered non-buggy. The content of the method m can safely considered non-buggy in any later revision ρ with ρ > n since the bug is fixed in revision n. However, prior to the bug-fixing revision n, the method m may or may not be buggy, but in our work, we considered it non-buggy. This assumption can be argued as a threat to the construct validity of this work.

**Internal Validity:** In the detection of clones, we have used the NiCad clone detector, which is reported to be highly accurate [36], [43] and is widely used in many studies [13], [33], [48], [47]. The library JGit used in our work for locating changes between two revisions is also reliably used for similar purposes in other studies [10], [31]. Moreover, we manually verified the correctness of the computations probing with random samples. Thus, we develop high confidence in the internal validity of this study.

**External Validity:** Although our study includes a large number of revisions of three subject systems, all the systems are open-source and written in Java. Thus the findings from this work may not be generalizable for industrial systems and source code written in languages other than Java.

**Reliability:** The methodology of this study including the procedure for data collection and analysis is documented in this paper. The subject systems being open-source, are freely accessible while the tool NiCad and library JGit are available online. All the bug-fixing commits are also available [34]. Therefore, it should be possible to replicate this study.
V. RELATED WORK

Several attempts are made to explore fault-proneness of clones by relating them with bug-fixing changes obtained from commit history. Very recently, Mondal et al. [29] claimed that code clones, which were recently changed or created had high possibilities of containing bugs. Again, Rahman and Roy [33] found that stability and bug-proneness of code clones are related. The studies of Mondal et al. and Rahman and Roy only focused on recency of code changes and stability of cloned code respectively. On the other hand, our work, for the first time has investigated a comprehensive set of source code metrics to identify their relationships with bug-proneness of cloned code.

Selim et al. [41] investigated bug-proneness of code clones by combining source code and clone related metrics. However, they used only four source code metrics (e.g., Lines of Code, number of tokens, Nesting levels and Cyclomatic Complexity). Moreover, their investigation was based only on Type-1 and Type-2 method clones, thus missed out Type-3 clones. In contrast to their work, we have used Type-3 clones for this study that also includes Type-1 and Type-2 clones. Moreover, we have used 29 source code metrics to conduct the analyses in this study.

Wang et al. [44] related eight source code metrics with harmfulness of cloned code. They defined harmfulness of a piece of clone code based on maintenance cost. The higher the maintenance cost, the higher the clone code is harmful. In contrast, we have studied real buggy clones identified thorough bug-fixing changes and examined them using 29 software metrics.

Juergens et al. [18] studied inconsistent clones to relate with bugs. They used manual investigation to identify bugs in inconsistent clones, and concluded that unintentionally made inconsistent clones are more likely to contain defects. They hadn’t conducted any statistical tests of significance of their finding. In contrast to their approach, we have mined source code repositories to identify bugs and conducted statistical tests of significance for all of our findings.

Rahman et al. [32] compared bug-proneness of clone and non-clone code and found non-clone code to be more bug-prone than clone code. However, their investigation was based on monthly snapshots of their subject systems, and thus, they had the possibility of missing buggy commits. In our study, we consider all the bug-fix revisions for each of the subject systems, thus, we have included all bug-fix commits.

Barbour et al. [5] suggested that late propagations due to inconsistent changes are prone to introduce software defects. While Lozano and Wermelingar [24] suggested that having a clone may increase the maintenance effort for changing a method, Hotta et al. [11] reported code clones not to have any negative impact on software changeability. Lozano et al. [25] reported that a vast majority of methods experience larger and frequent changes when they contain cloned code. Mondal et al. [28] also reported code clones to be less stable. However, opposite results are found from several other studies [4], [9], [8], [21].

Recently, Islam et al. [16] conducted a comparative study of security vulnerabilities in cloned and non-cloned code. Earlier Islam and Zibran [15] and Sajnani et al. [40] conducted two comparative studies of code smells in cloned and non-cloned code. However, they defined code smells as vulnerability and bug patterns in their respective studies. By targeting code stability and dispersion of code changes Mondal et al. also performed comparative studies in cloned and non-cloned code [26], [27].

Along the comparative studies, Saini et al. [39] conducted a study to compare source code quality metrics for cloned and non-cloned code. The study used 27 software quality metrics, categorized in three groups e.g., complexity, modularity, and documentation (code-comments). They did not find any statistically significant difference between the quality of cloned and non cloned methods for most of the metrics. However, we have compared the significance of differences of 29 software quality metrics’ (categorized in four groups) values in buggy and non-buggy cloned methods instead of comparing them in cloned and non-cloned code.

In another study, Islam et al. [14] found the percentage of changed files due to bug-fix commits is significantly higher in clone code compared with non-clone code. They also found the possibility of severe bugs occurring is higher in clone code than in non-clone code. While all these above studies provide valuable insights about the characteristics of code clones, the clone literature lacked the comparative study of buggy and non-buggy cloned code characteristics in terms of source code quality metrics. This study has filled that gap in some extent and identify which source code quality metrics have contributed to buggy cloned code.

VI. CONCLUSION

In this paper, we have presented a comparative study of buggy and non-buggy Type-3 method clones in terms of 29 code quality metrics grouped into complexity metrics, size metrics, documentation metrics, and coupling metrics. Our quantitative study is based on 2,077 bug-fixing revisions of three open-source software systems written in Java.

In our study, we have found that buggy clones have higher complexity and lower maintainability compared to non-buggy cloned methods. Moreover, buggy clones are found to be larger in size measured in terms of the number statements and lines of code. Surprisingly, we have found that the non-buggy method clones have higher number of parameters while too many parameters in functions are generally considered problematic and recognized as a code smell [7].

As expected, compared to non-buggy clones, documentation quality of buggy clones are found inferior. Overall, buggy clones are found to be more coupled than non-buggy clones. However, it is interesting to have found that the buggy cloned methods have significantly higher outgoing dependencies (i.e., outgoing invocations) compared to non-buggy clones. In case of incoming dependencies, no significant difference is found between buggy and non-buggy clones.
The findings from this study advance our understanding of the characteristics and impacts of buggy clones on code quality. It appears that some of the code quality metrics can be good indicators for potentially buggy clones, and thus can be applied for identifying problematic clones for removal by refactoring or other especial treatments. For doing such, we need to derive a thresholding mechanism, which would indicate at what values of the quality metrics certain clones would be reported as potentially harmful. These remain within our plans for expanding this work.

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REFERENCES